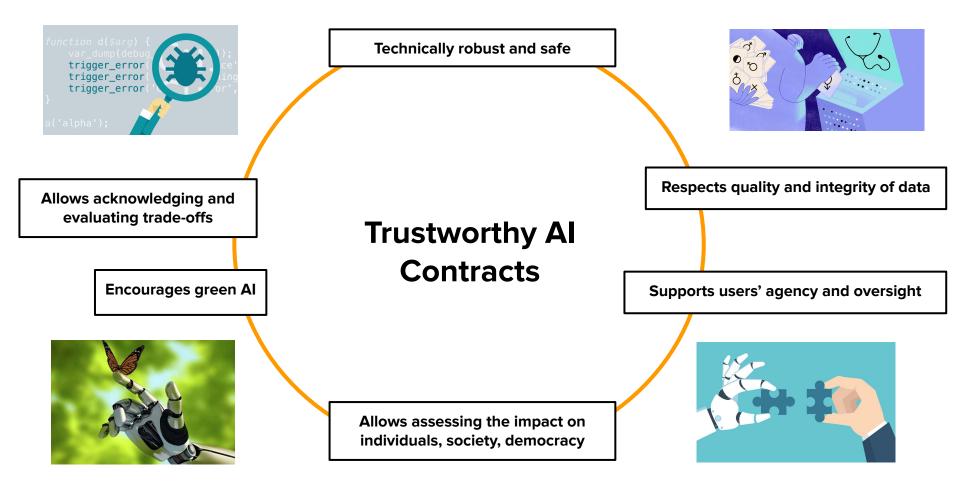
Explanation Selection Through The Lens of **Free-Text** and **Contrastive Explanations**

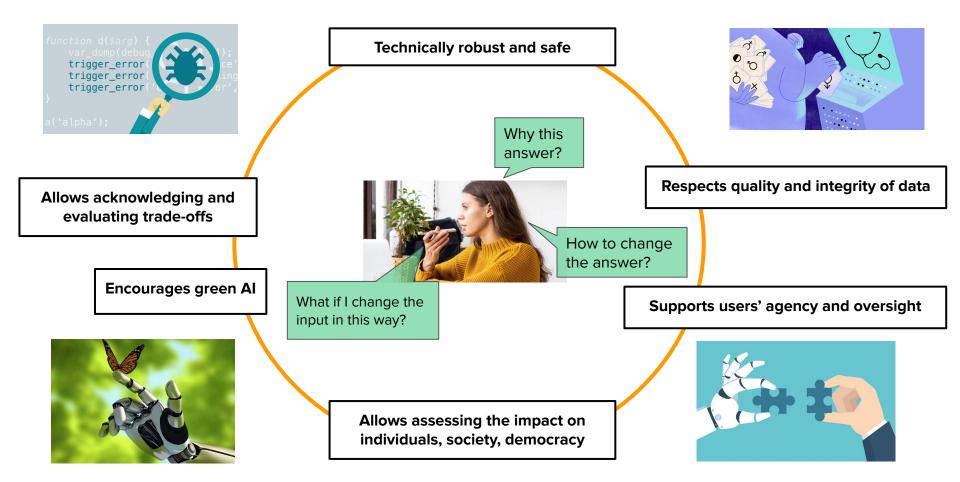
Ana Marasović

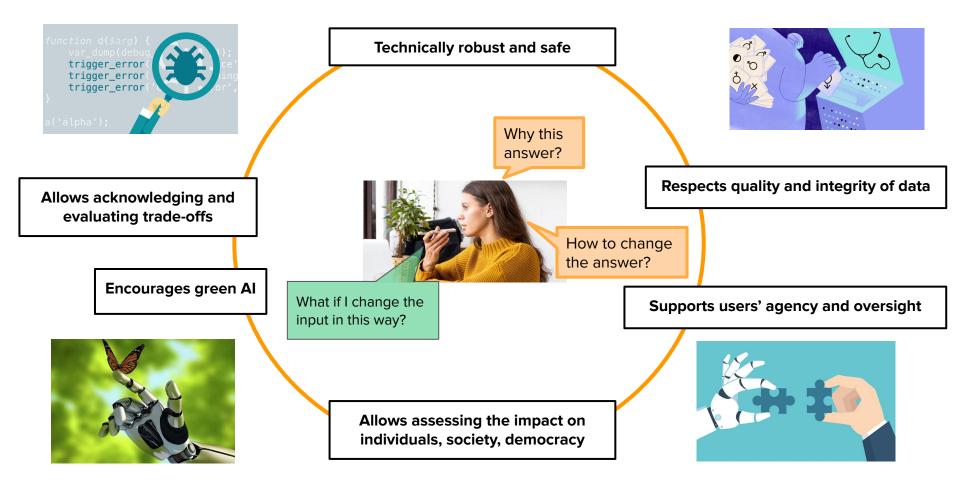
Allen Institute for AI (AI2) × AllenNLP × University of Washington

Natural Language Processing has become an integral part of most people's daily lives









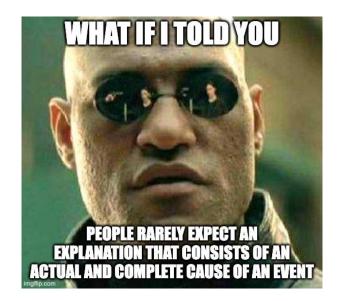
One approach to realizing some of the trustworthy AI goals is via **local explanations**: justifications of models' individual predictions

A dominant ML/NLP perspective on local explanations

→ Causal attribution: given a set of factors (usually, input tokens/pixels), select *all factors* that *cause* the model's decision

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Miller's 1st Insight from Social Science

Explanation are **selected (in a biased manner)** because:

- 1. **Cognitive load**: causal chains are often too large to comprehend
- 2. Explainee cares only about a small number of causes (relevant to the context)



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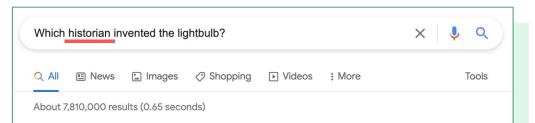
- 1. **Cognitive load**: causal chains are often too large to comprehend
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Which historian invented the lightbulb?						x 🏮 Q
Q All	I News	🖾 Images	Shopping	▶ Videos	: More	Tools
About 7,810,000 results (0.65 seconds)						





None *because* Thomas Edison is credited as the primary inventor of the lightbulb and Edison was not a historian



Thomas Alva Edison (February 11, 1847 – October 18, 1931) was an American <u>inventor</u> and <u>businessman</u> who has been described as America's greatest inventor.^{[1][2][3]} He developed many devices in fields such as electric power generation, mass communication, sound recording, and motion pictures.^[4] These inventions, which include the phonograph, the motion picture camera, and early versions of the electric light bulb, have



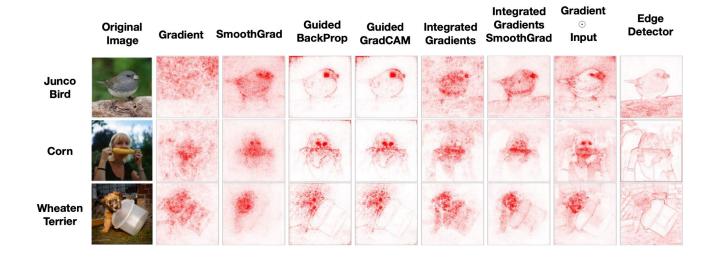
Thomas Edison is credited as the primary inventor of the lightbulb and Edison was not a historian.

Answering "why" by highlighting

Sylvester Stallone has made some crap films in his lifetime, but this has got to be one of the worst. A totally dull story that thinks it can use various explosions to make it interesting, "the specialist" is about as exciting as an episode of "dragnet," and about as well acted. Even some attempts at film noir mood are destroyed by a sappy script, stupid and unlikable characters, and just plain nothingness. Who knew a big explosion could be so boring and anti-climactic?

Label: negative sentiment

Answering "why" by highlighting



Cognitive load of understanding highlighting is very high when the reason is not explicitly stated in the input



Question: What is going to happen next?

Answer: [person2] holding the photo will tell [person4] how cute their children are.

Free-text explanation: It looks like [person4] is showing the photo to [person2], and they will want to be polite.

Cognitive load of understanding highlighting is very high when the reason is not explicitly stated in the input



Free-text explanation:

- [person4] is showing the photo to [person2]
- [person2] will want to be polite

We cannot highlight this in the input!

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"Why P rather than Q?"

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where **P** is an observed event (fact), and **Q** an imagined, counterfactual event that did not occur (foil)

DHH 🕑 @dhh

The @AppleCard is such a fucking sexist program. My wife and I filed joint tax returns, live in a communityproperty state, and have been married for a long time. Yet Apple's black box algorithm thinks I deserve 20x the credit limit she does. No appeals work.

12:34 PM · Nov 7, 2019 · Twitter for iPhone

9K Retweets 3.5K Quote Tweets 28K Likes

Why did she get 20x less limit?

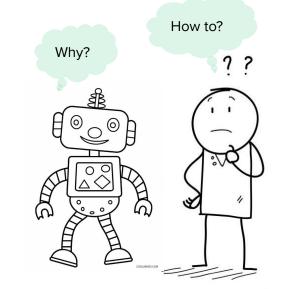
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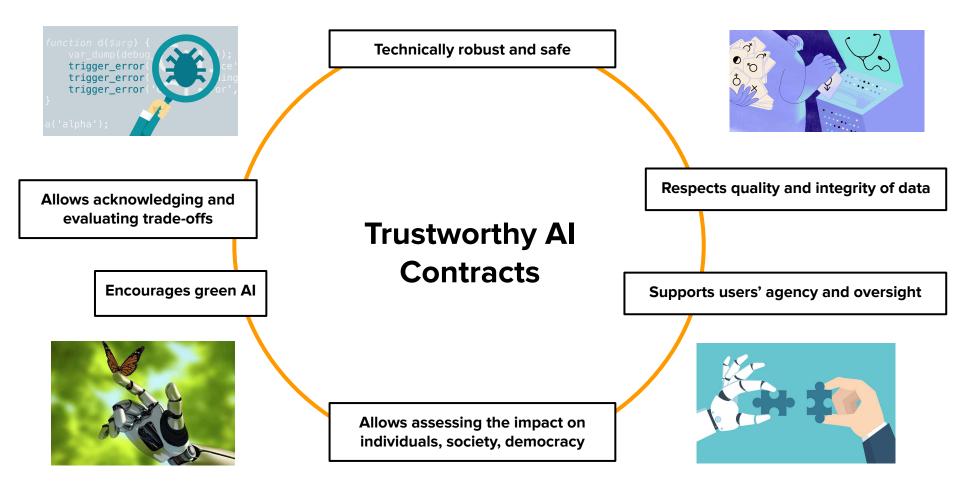
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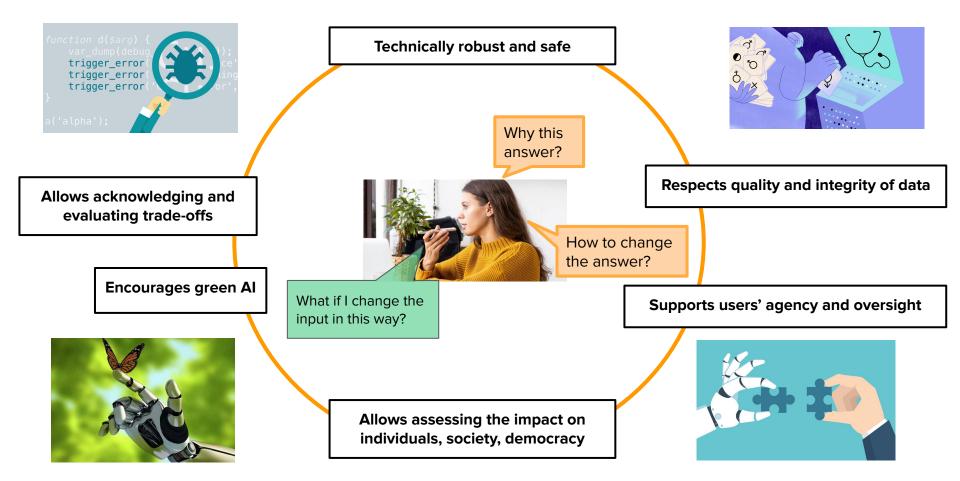
"Understanding how people define, generate, select, evaluate, and present explanations seems almost essential"

People assign human-like traits to AI models (anthropomorphic bias)

- ⇒ People expect explanations of models' behavior to follow the same conceptual framework used to explain human behavior
- ⇒ No users' agency otherwise









Data

Wiegreffe^{*} and **Marasović^{*} (equal contributions)**. Teach Me to Explain: A Review of Datasets for Explainable NLP. NeurIPS 2021.

Modeling

Marasović et al. Natural Language Rationales with Full-Stack Visual Reasoning: From Pixels to Semantic Frames to Commonsense Graphs. Findings of EMNLP 2020.

Marasović*, Beltagy*, et al. Few-Shot Self-Rationalization with Natural Language Prompts. arXiv 2021.

Theoretical and Empirical Evaluation

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Explaining Visual Reasoning

Marasović et al (2020) **Natural Language Rationales with Full-Stack Visual Reasoning:** From Pixels to Semantic Frames to Commonsense Graphs

Explaining reasoning requires more than highlighting

Question: Where is a frisbee in play likely to be?

Answer choices: outside, park, roof, tree, air

Free-text explanation: A frisbee is a concave plastic disc designed for skimming through the air as an outdoor game so while in play it is most likely to be in the air.

Aggarwal et al. (2021)

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How to generate free-text explanations?

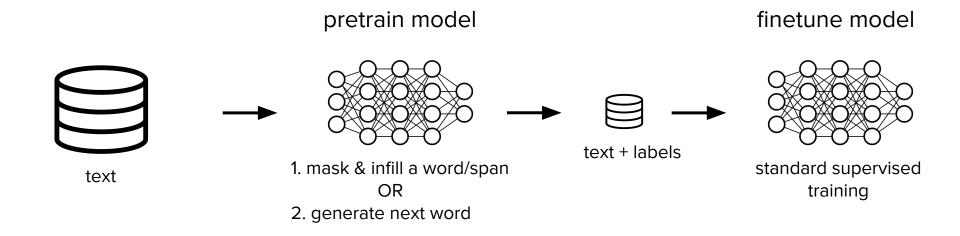
Step 1:

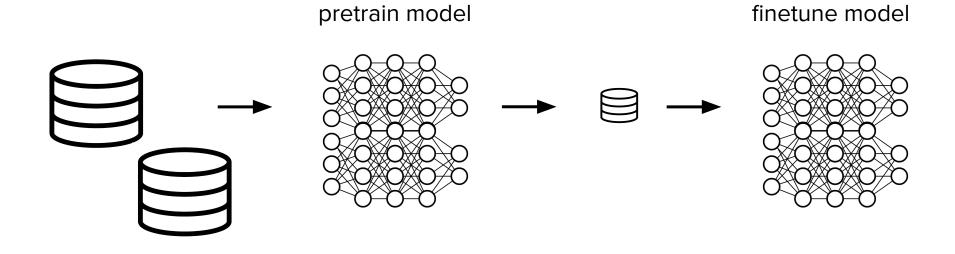
Find some human-written explanations[◊]

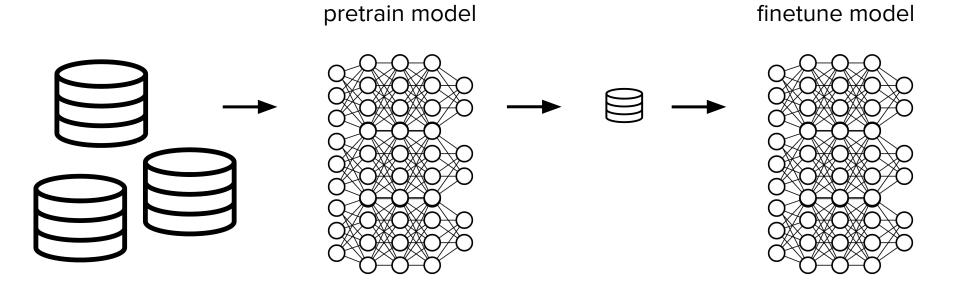
Step 2:

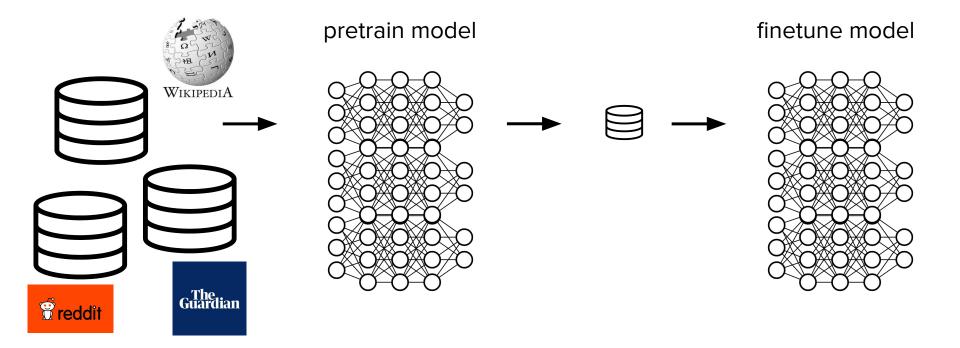
Finetune a pretrained transformer-based generation models (T5, GPT-2/Neo)

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Dodge, Sap, Marasović, Agnew, Ilharco, Groeneveld, Mitchell, Gardner. Documenting Large Webtext Corpora: A Case Study on the Colossal Clean Crawled Corpus. 32 EMNLP 2021.

How to generate free-text explanations?

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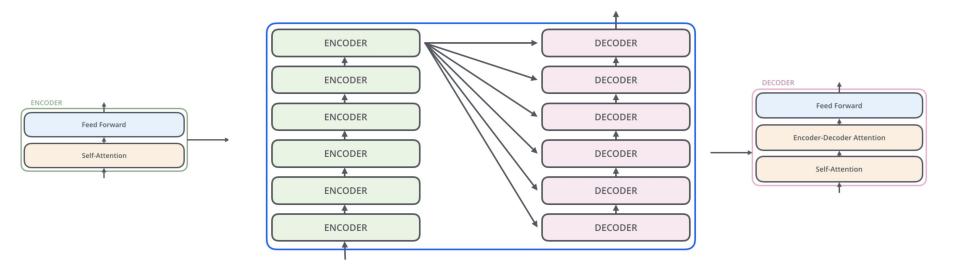
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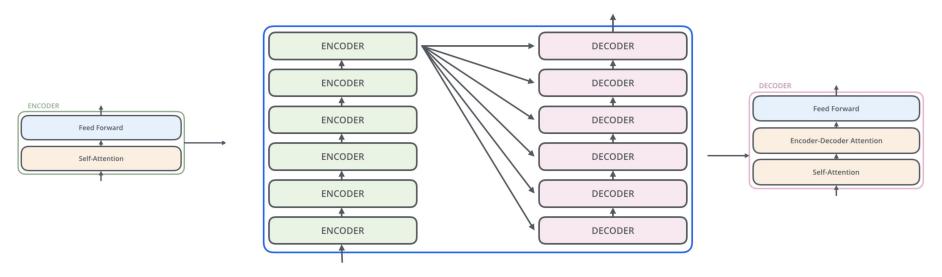
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Transformer



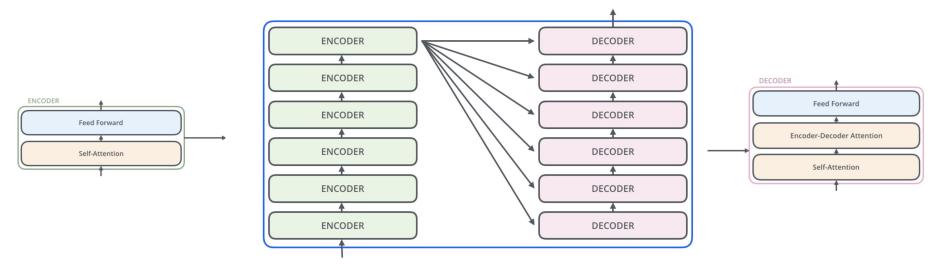
Generating Explanations



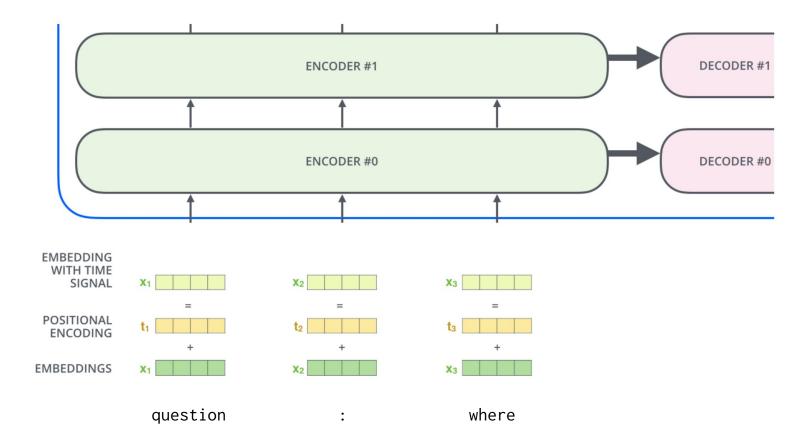
question: where is a frisbee in play likely to be? choice: outside choice: park choice: roof choice: tree choice: air

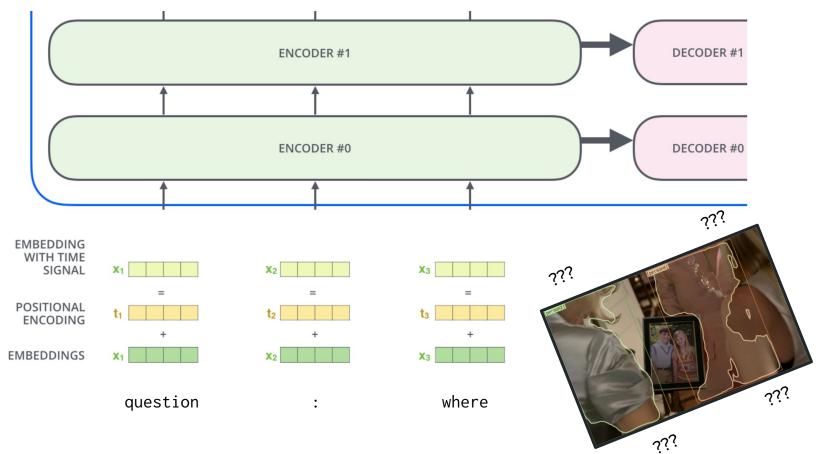
Generating Explanations

Air because a frisbee is a concave plastic disc designed for skimming through the air as an outdoor game so while in play it is most likely to be in the air.



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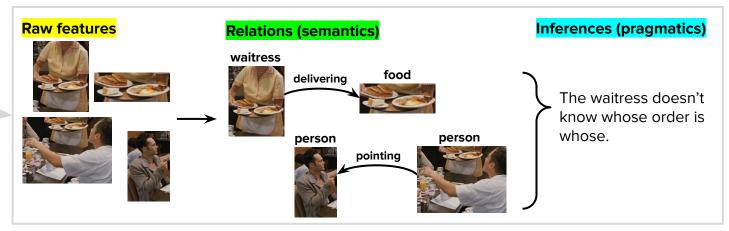
Key challenge: image representation beyond explicit content



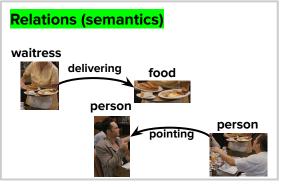
Question: Why is person on the right pointing to the person on the left?

Answer: He is telling the waitress that the person on the left ordered the pancakes.

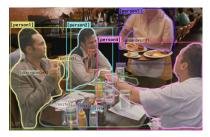
Free-text explanation: She is delivering food to the table and she doesn't know whose order is whose.







object detection^o



grounded situation recognition $^{\circ}$

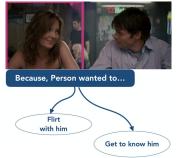


Inferences (pragmatics)

The waitress doesn't know whose order is whose.

visual commonsense graph⁻⁻

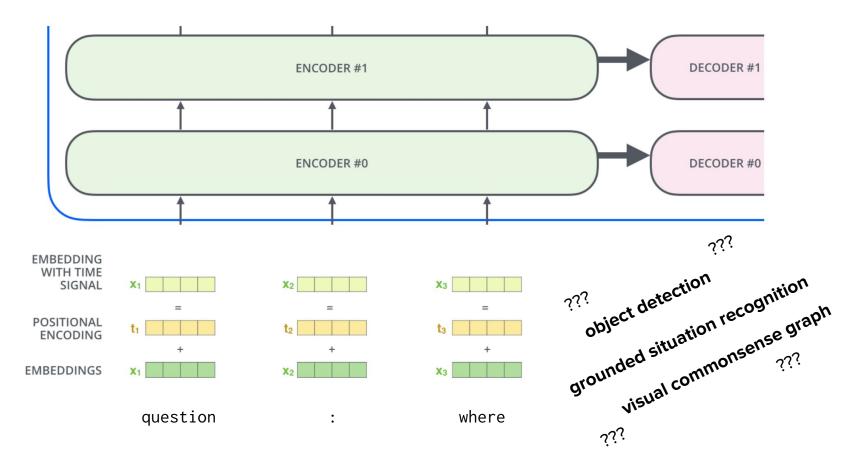
"order a drink"



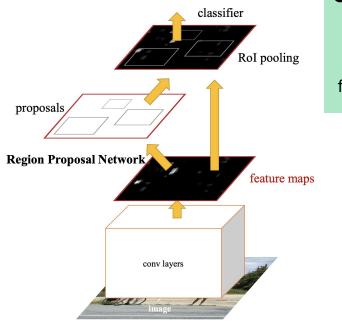
^o Ren et al. Faster R-CNN: Towards Real-Time Object Detection with Region Proposal Networks. TPAMI 2015.

^o Pratt et al. Grounded Situation Recognition. ECCV 2020.

^D Park et al. VisualCOMET: Reasoning about the Dynamic Context of a Still Image. ECCV 2020.



Back to Basics: Object Detection

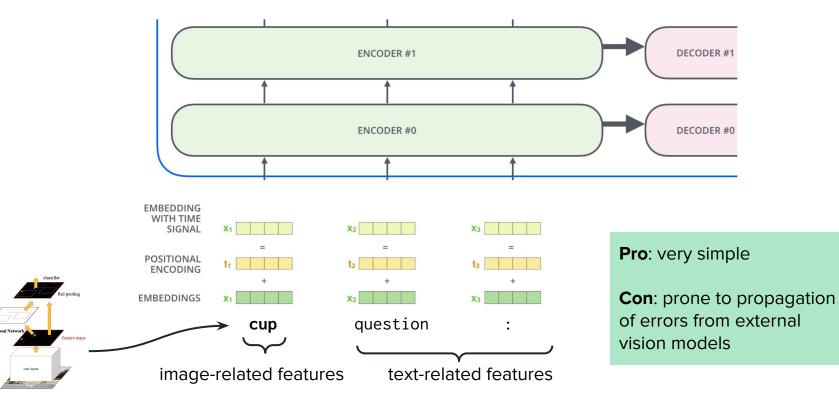


Output:

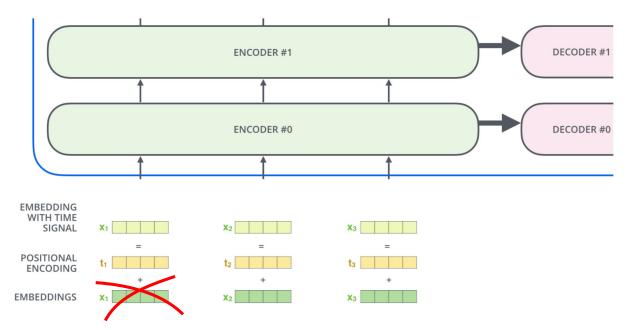
- 1. class (e.g. cup)
- 2. vector

for each detected object

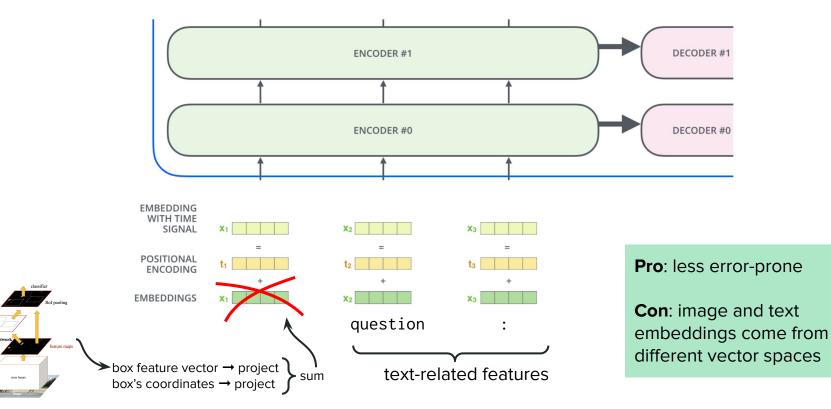
Uniform fusion: Prepend object labels to text



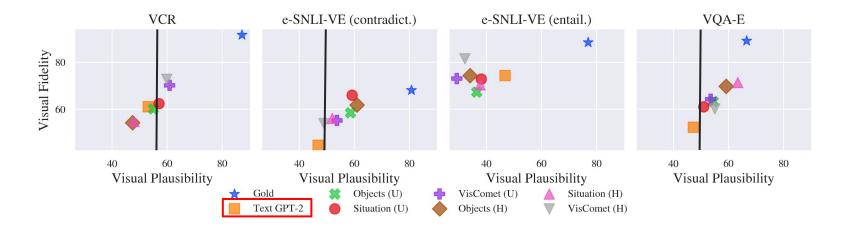
Hybrid fusion: Prepend object vectors to text embeddings



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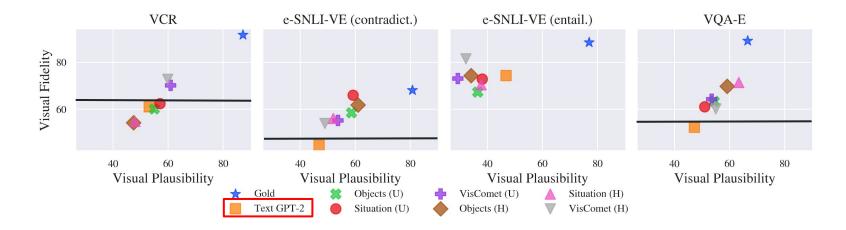


Summary of Results



→ GPT-2 benefits from some form of visual adaptation for visual commonsense reasoning, visual-textual entailment, and visual question answering

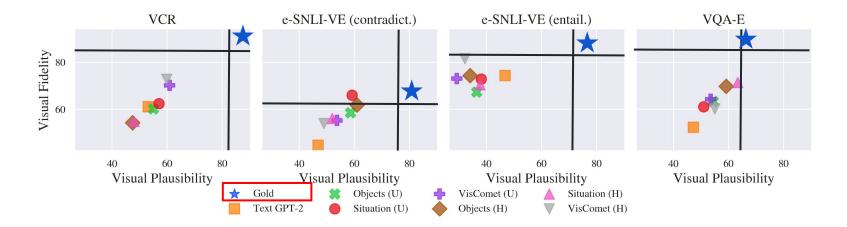
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- → GPT-2 benefits from some form of visual adaptation for visual commonsense reasoning, visual-textual entailment, and visual question answering
- → Adapted models are less likely to mention content irrelevant to an image

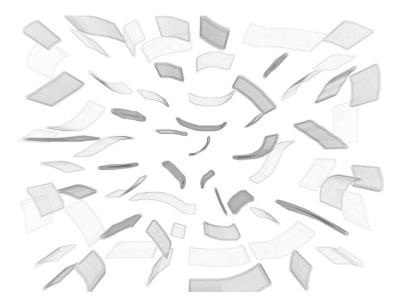
47

Summary of Results



- → GPT-2 benefits from some form of visual adaptation for visual commonsense reasoning, visual-textual entailment, and visual question answering
- → Adapted models are less likely to mention content irrelevant to an image
- → Best performing models are still behind human-written rationales

Which developments of (multimodal) transformers are beneficial for the complex task of generating free-text explanations?



Which developments of (multimodal) transformers are beneficial for the complex task of generating free-text explanations?

generation-suitable multimodal transformers⁰⁰

tight vision and language

visually adapting language transformers (our work)

trained to generate complex text (implicitly capture some commonsense & world "knowledge")

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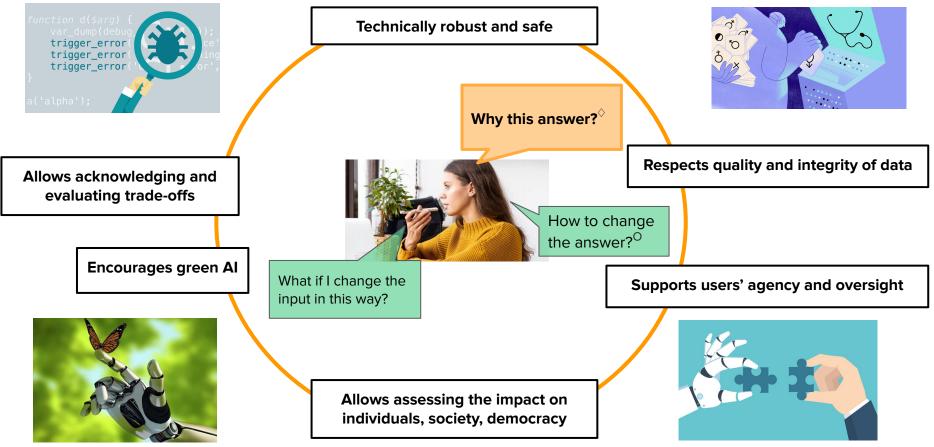
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What is more important?

Does this depend on the finetuning data size? How about model size?

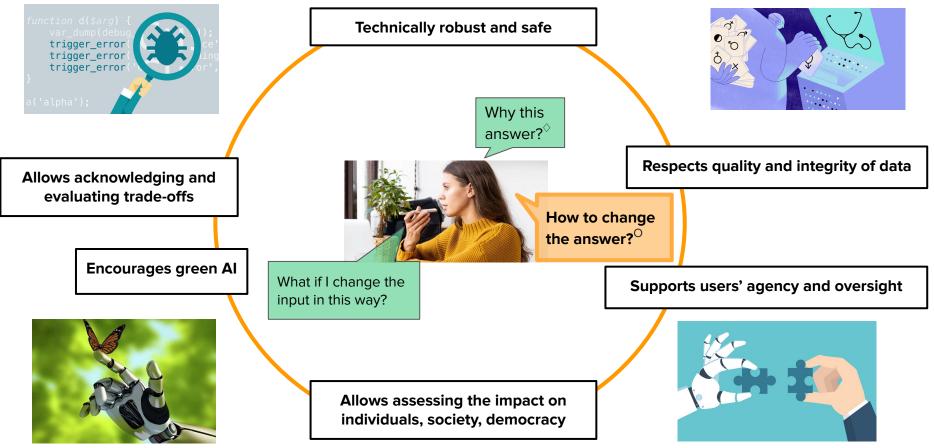
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European ethics guidelines for trustworthy AI

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Explanations are **contrastive** = responses to:

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where **P** is an observed event (fact), and **Q** an imagined, counterfactual event that did not occur (foil)

DHH 🕑 @dhh

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12:34 PM · Nov 7, 2019 · Twitter for iPhone

9K Retweets 3.5K Quote Tweets 28K Likes

Why did she get 20x less limit?

- 1. Make joint tax returns
- 2. Live in a community-property state
- 3. Be married for a long time
- 4.

What are the factors in the application that would need to change to get the same limit? woman → ?

NLP is starting to pay attention!

COLING 2020 → Yang et al. Generating Plausible **Counterfactual Explanations** for Deep Transformers in Financial Text Classification.

TACL 2021 → Jacovi and Goldberg. Aligning Faithful Interpretations with their Social Attribution.

(Findings of) ACL 2021

- → Chen et al. KACE: Generating Knowledge-Aware Contrastive Explanations for NLI.
- → Ross et al. Explaining NLP Models via Minimal Contrastive Editing (MiCE).
- → Paranjape et al. Prompting **Contrastive Explanations** for Commonsense Reasoning Tasks.
- → Wu et al. Polyjuice: Generating **Counterfactuals** for **Explaining**, Evaluating, and Improving Models

EMNLP 2021 → Jacovi et al. Contrastive Explanations for Model Interpretability.

Almost all of these papers begin by citing Miller's overview of frameworks of explanations from social science

Are technical proposals the same?

Contrastive Explanations of NLP Models

Contrastive input editing:

Automatic edits to the input that change model output to the contrast case

Yang et al. COLING 2020. Jacovi and Goldberg. TACL 2021. **Ross et al. Findings of ACL 2021.** Wu et al. ACL 2021. Collect **free-text** human **contrastive explanations**, ...

...and generate them left-to-right Chen et al. ACL 2021.

...abstract them into templates, automatically fill in the templates (template-based infilling)

Paranjape et al. Findings of ACL 2021.

Contrastive vector representation: A dense representation

of the input that captures latent features that differentiate two classes

Jacovi et al. EMNLP 2021.

Deeper Into Contrastive Editing

Contrastive Explanations via Contrastive Editing

The key idea:

"Why P not Q?" ⇒ "How to change the answer from P to Q?" ⇒ By making a **contrastive minimal edit**

A minimal edit to the input that causes the model output to change to the contrast case **has hallmark characteristics of a human contrastive explanation**:

→ cites contrastive features

→ selects a few relevant causes

Contrastive Explanations via Contrastive Editing

Question:

Ann and her children are going to Linda's home _____.

(a) by bus (b) by car (c) on foot (d) by train

Why **"by train"** (d) and not **"on foot"** (c)? How to change the answer from **"by train"** (d) to **"on foot"** (c)?

Context:

...Dear Ann, I hope that you and your children will be here in two weeks. My husband and I will go to meet you at the train station. Our town is small...

MiCE-Edited Context:

...Dear Ann, I hope that you and your children will be here in two weeks. My husband and I will go to meet you at the train station your home on foot. Our town-house is small...

Alexis Ross, Ana Marasović, Matt Peters (2021) Explaining NLP Models via Minimal Contrastive Editing (MiCE)



Goal:

Automatically find a **minimal edit** to the input that **causes the model output to change to the contrast case**

A very high-level idea of 🐭:

Keep masking and filling masked positions until you find an edit that flips the label, while simultaneously minimizing the masking percentage (i.e., the edit size)

the contrast label (foil)

label: positive input: Sylvester Stallone has made some crap films in his lifetime, but this has got to be one of the worst. A totally dull story...

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mask *n*% of input tokens

label: positive input: Sylvester Stallone has made some <mask> films in his lifetime, but this has got to be one of the <mask>. A totally <mask> story...

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sample *m* spans at each masked position

- 1. label: positive input: Sylvester Stallone has made some good films in his lifetime, but this has got to be one of the worst. A totally novel story...
- 2. label: positive input: Sylvester Stallone has made some great films in his lifetime, but this has got to be one of the greatest of all time. A totally boring story...

m. label: positive input: Sylvester Stallone has made some wonderful films in his lifetime, but this has got to be one of the greatest. A totally tedious story...

. . .

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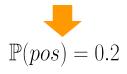
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get the probability of the contrast label



 $\mathbb{P}(pos) = 0.65$

- 1. Prepend the contrast label to the input
- 2. Mask *n*% of the input tokens
- 3. Sample *m* spans at masked positions

* s=4 in the paper

How to pick which values for *n*?

Binary search on [0,55]

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How to pick which values for *n*?

Binary search on [0,55]

Start: *n*⁽¹⁾=27.5%

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How to pick which values for *n*?

Binary search on [0,55]

Start: *n*⁽¹⁾=27.5%

→ If a contrastive edit found: $n^{(2)}=13.75\%$

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Binary search on [0,55]

Start: *n*⁽¹⁾=27.5%

→ If a contrastive edit found: $n^{(2)}=13.75\%$

→ If a contrastive edit **not** found: $n^{(2)}$ =41.25%

- 1. Prepend the contrast label to the input
- 2. Mask *n*% of the input tokens
- 3. Sample *m* spans at masked positions

* s=4 in the paper

How to pick which values for *n*?

Binary search on [0,55]

Start: *n*⁽¹⁾=27.5%

- → If a contrastive edit found: $n^{(2)}=13.75\%$
 - If a contrastive edit found: $n^{(3)}$ =6.875%
- → If a contrastive edit **not** found: $n^{(2)}$ =41.25%
 - If a contrastive edit found: $n^{(3)}=20.625\%$

- 1. Prepend the contrast label to the input
- 2. Mask *n*% of the input tokens
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How to pick which values for *n*?

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- → If a contrastive edit **not** found: $n^{(2)}$ =41.25%
 - If a contrastive edit found: $n^{(3)}=20.625\%$
 - If a contrastive edit **not** found: $n^{(3)}$ =48.125%

- 1. Prepend the contrast label to the input
- 2. Mask *n*% of the input tokens
- 3. Sample *m* spans at masked positions

* s=4 in the paper

How to pick masking positions?

Based on token importance for the original prediction

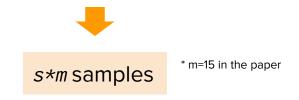
Rank input tokens based on the magnitude of the gradients of the model we're explaining

Mask top-n% of **ranked** tokens

We find that this works better than randomly masking tokens

- 1. Prepend the contrast label to the input
- 2. Mask *n*% of the input tokens
- 3. Sample *m* spans at masked positions

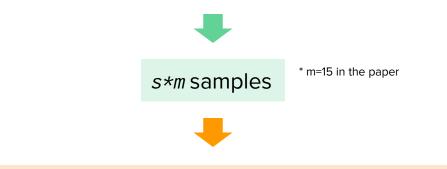
* s=4 in the paper



- 1. Prepend the contrast label to the input
- 2. Mask *n*% of the input tokens
- 3. Sample *m* spans at masked positions

* s=4 in the paper

Х



rank *s***m* samples w.r.t. the probability of the contrast label

Ross, Marasović, Peters. Explaining NLP Models via Minimal Contrastive Editing (MiCE). Findings of ACL 2021.

- 1. Prepend the contrast label to the input
- 2. Mask *n*% of the input tokens
- 3. Sample *m* spans at masked positions

* s=4 in the paper

* m=15 in the paper

Х

rank *s*m* samples w.r.t. the probability of the contrast label



- 1. Prepend the contrast label to the input
- 2. Mask *n*% of the input tokens
- 3. Sample *m* spans at masked positions

* s=4 in the paper

* m=15 in the paper *s*m* samples

Х

rank *s***m* samples w.r.t. the probability of the contrast label

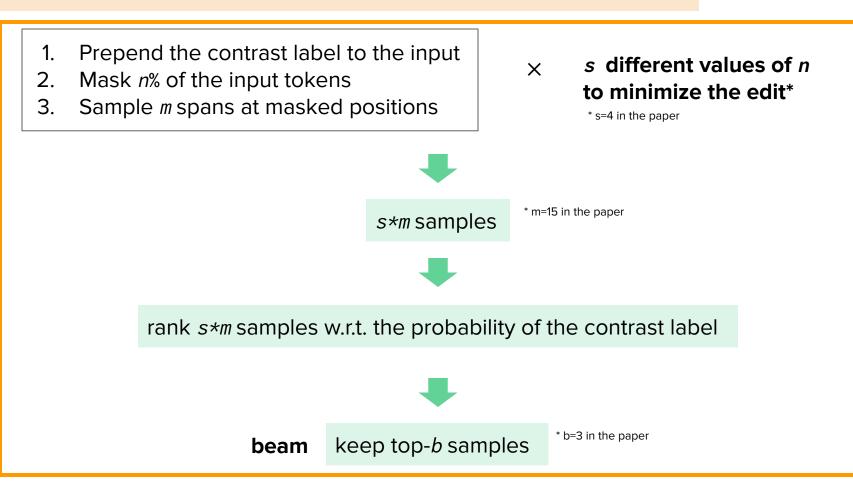
keep top-*b* samples beam

* b=3 in the paper

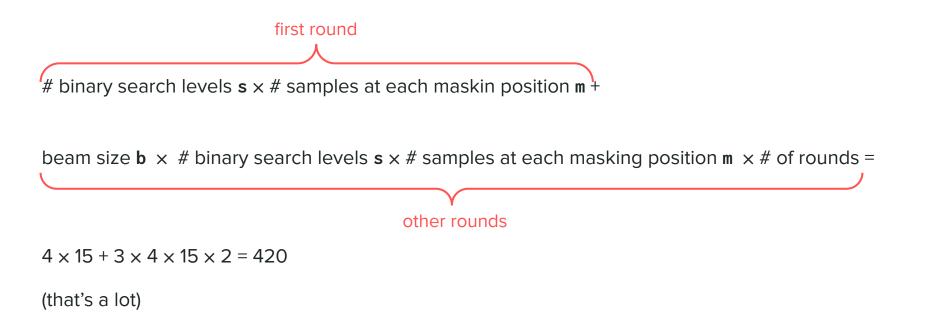
if the contrastive edit is found 79

Ross, Marasović, Peters. Explaining NLP Models via Minimal Contrastive Editing (MiCE). Findings of ACL 2021.

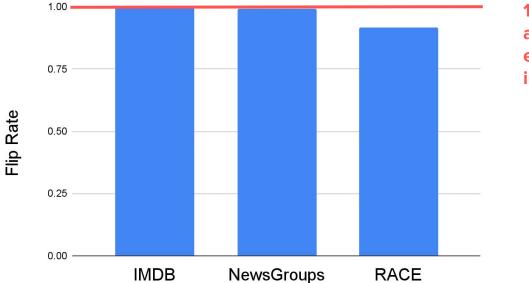
repeat these steps for every instance in the beam for 2 more rounds



The maximum number of iterations for a single instance:



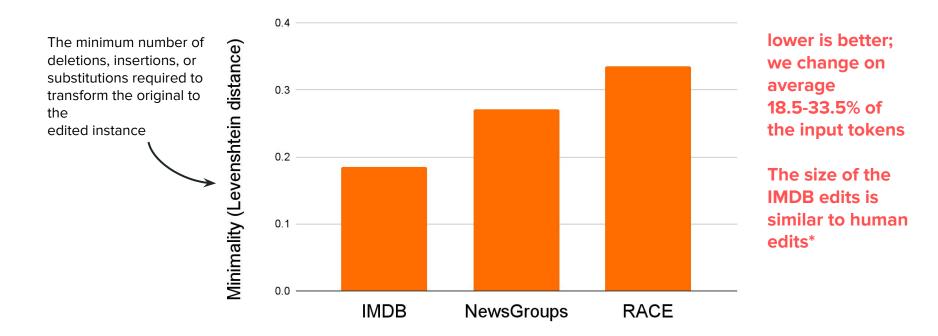
Results – Flip Rate



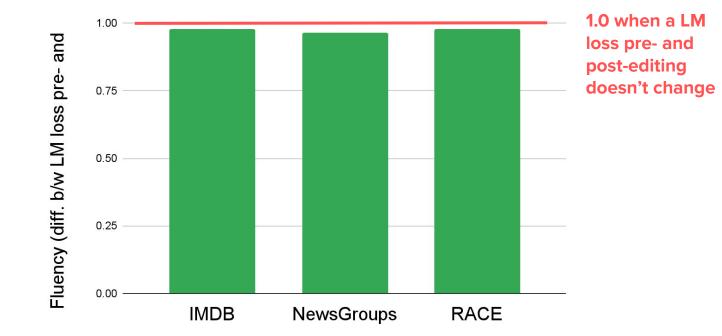
1.0 when we find a contrastive edit for all instances

Ross, Marasović, Peters. Explaining NLP Models via Minimal Contrastive Editing (MiCE). Findings of ACL 2021.

Results – Edit Minimality



Results – Edit Fluency



Ross, Marasović, Peters. Explaining NLP Models via Minimal Contrastive Editing (MiCE). Findings of ACL 2021.

How Can MiCE Edits Be Used?

MiCE's edits can offer hypotheses about model "bugs"

Original pred y_p = positive **Contrast pred** y_c = negative

An interesting pairing of stories, this little flick manages to bring together seemingly different characters and story lines all in the backdrop of WWII and succeeds in tying them together without losing the audience. I was impressed by the depth portrayed by the different characters and also by how much I really felt I understood them and their motivations, even though the time spent on the development of each character was very limited. The outstanding acting abilities of the individuals involved with this picture are easily noted. A fun, stylized movie with a slew of comic moments and a bunch more head shaking events. 7/10 4/10

How Can MiCE Edits Be Used?

MiCE's edits can offer hypotheses about model "bugs"

Hypothesis:

Model learned to rely heavily on numerical ratings \star

Test the hypothesis using MiCE's edits:

- 1. Filter instances for which the MiCE edit has a minimality value of ≤ 0.05
- Select tokens that are removed/inserted at a higher rate than expected given the frequency with which they appear in the original IMDB inputs

$y_c = p$	ositive	$y_c = negative$		
Removed	Inserted	Removed	Inserted	
4/10	excellent	10/10	awful	
ridiculous	enjoy	8/10	disappointed	
horrible	amazing	7/10	1	
4	entertaining	9	4	
predictable	10	enjoyable	annoying	

NLP is starting to acknowledge the perspective of the social sciences on explainability

Contrastive editing is already achieving decent performance

Obviously needed improvements:

 \rightarrow less iterations

 \rightarrow more precise minimality

(Contrastive) Local Explanations: What is Next?

Miller's 1st Insight from Social Science

Explanation are **selected (in a biased manner)** because:

- 1. **Cognitive load**: causal chains are often too large to comprehend
- 2. Explainee cares only about a small number of causes (relevant to the context)

We don't test whether generated contrastive explanations are more easily understood or whether they match people's expectations

This is not specific to contrastive explanations...

Although local explanations are specifically motivated for people to use, there is no convincing evidence that local explanations help people who are using language technology Ana Marasović @anmarasovic · Jul 21

While developing your new NLP model, how often do you use explainability methods—gradient attribution, attention scores, finding influential training examples, etc—to help you debug (come up with new hypotheses about why your model works or doesn't work)?

Very rare	73.8%								
Occasion		17.7%							
Very ofter	۱						8.5%		
130 votes · Final results									
♀ з	1J		\bigcirc		≏				
Julius Adeb @iulius ade									

Replying to @anmarasovic

This is the dirty laundry of this literature. *So* many papers, yet almost no convincing real-world impact of clear case debugging. I am not even sure researchers developing these methods use them :)

•••

We Lack Evidence That Local Explanations Are Helpful

This is in part due to:

- Focus on grand Al challenges, but not useful applications
- **Simple tasks** that people don't need help with (e.g., commonsense QA)
- The use of automatic measures of explanation plausibility without specifying what real-world situations highly plausible explanations will help with

Future Direction:

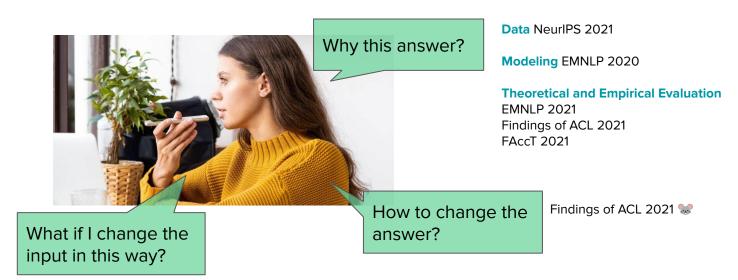
How and when are local explanations useful?

This is in part due to:

- Focus on grand Al challenges, but not useful applications
- **Simple tasks** that people don't need help with (e.g., commonsense QA)
- The use of automatic measures of explanation plausibility **without specifying what real-world** situations highly plausible explanations will help with

To meaningfully move forward we need to answer:

- → What are potentially useful language applications and who is targeted audience? (e.g., journalist and fact checking)
- → How explanations might help people using these applications? (e.g., by helping them verify information *faster* without the loss of *accuracy*)
- → Test them exactly for those purposes



What if I encode the linguistic structure differently?

Hoyle, **Marasović**, Smith. Promoting Graph Awareness in Linearized Graph-to-Text Generation. Findings of ACL 2021.

What if I change the data domain?

Gururangan, **Marasović**, et al. Don't Stop Pretraining: Adapt Language Models to Domains and Tasks. ACL 2020.

Marasović and Frank. Multilingual Modal Sense Classification using a Convolutional Neural Network. Repl4NLP 2016.

Marasović et al. Modal Sense Classification At Large. LiLT 2016.

What if a certain language phenomenon is present?

Dasigi, Liu, **Marasović**, Smith, Gardner. Quoref: A Reading Comprehension Dataset with Questions Requiring Coreferential Reasoning. EMNLP 2019.

What if the training data is limited?

Marasović and Frank. Improving Opinion Role Labeling Using Multi-Task Learning with Semantic Role Labeling. NAACL 2018.

Marasović et al. A Mention-Ranking Model for Abstract Anaphora Resolution. EMNLP 2017.



What if I change the input in this way?

How to change the answer?

Data NeurIPS 2021

Modeling Findings of EMNLP 2020

Theoretical and Empirical Evaluation EMNLP 2021 Findings of ACL 2021 FAccT 2021

Findings of ACL 2021 🐭

What if I encode the linguistic structure differently? Findings of ACL 2021

What if I change the data domain?

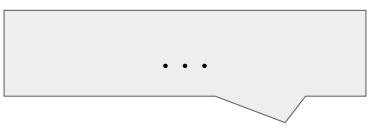
ACL 2020 Repl4NLP 2016 LiLT 2016 What if a certain language phenomenon is present? EMNLP 2019

What if the training data is limited? NAACL 2018 EMNLP 2017

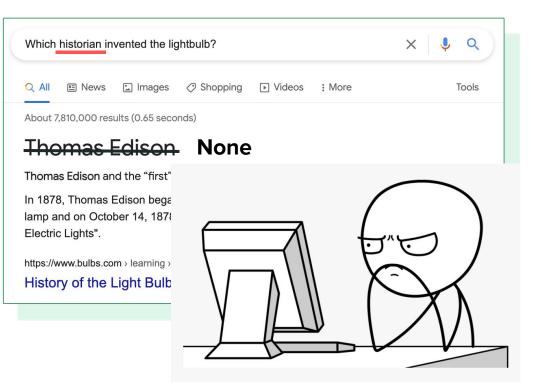




THANK YOU! YOUR QUESTION ₹











credited as the primary inventor of the lightbulb and Edison was not a historian Which historian invented the lightbulb?

× 🌷 C

constrain the system to explain *"why is this input assigned this answer"* to be more intuitive to people "None because Thomas Edison is credited as the primary inventor of the lightbulb and Edison was not a historian"

Q

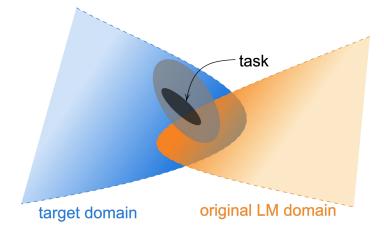
I Q

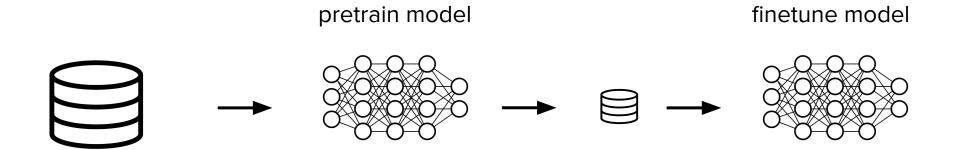
mental model about how to interact and

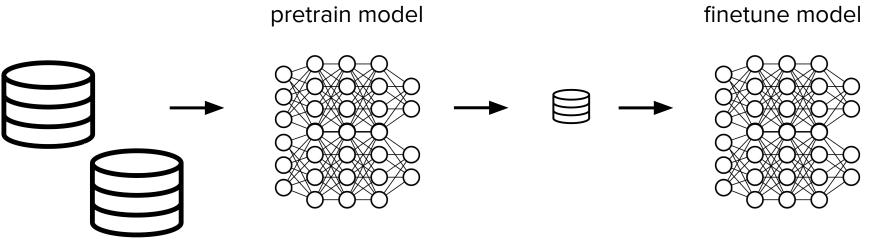
control the system

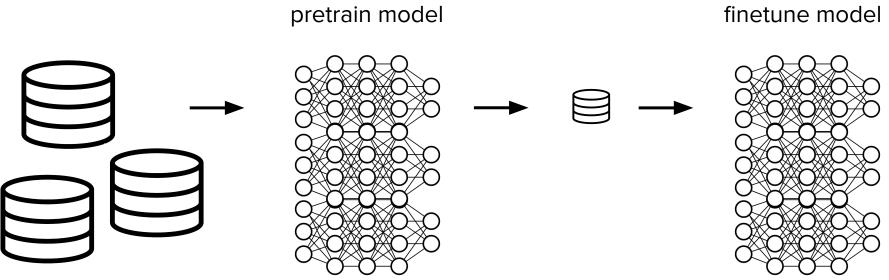
Gururangan, Marasović, Swayamdipta, Lo, Beltagy, Downey, Smith (2020):

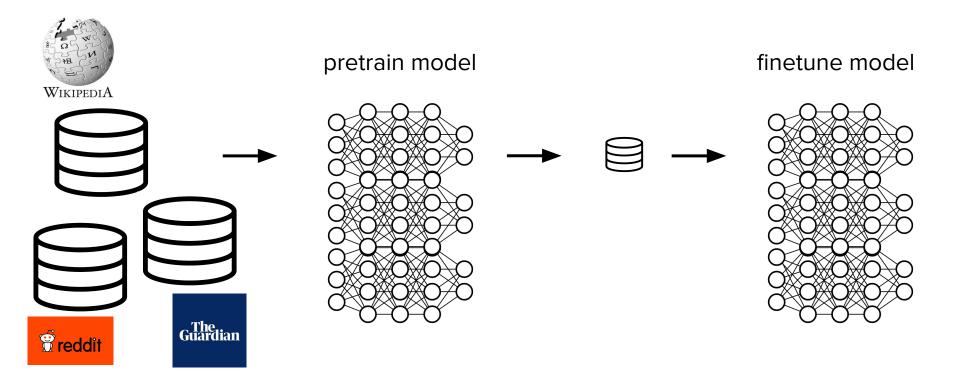
Don't Stop Pretraining: Adapt Language Models to Domains and Tasks





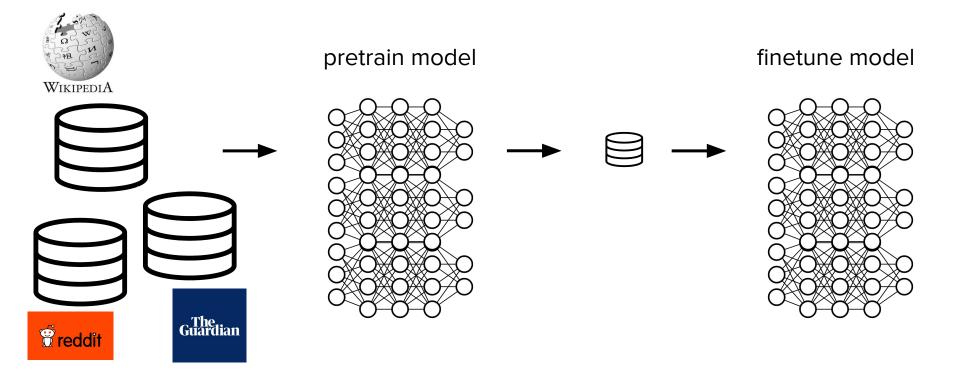




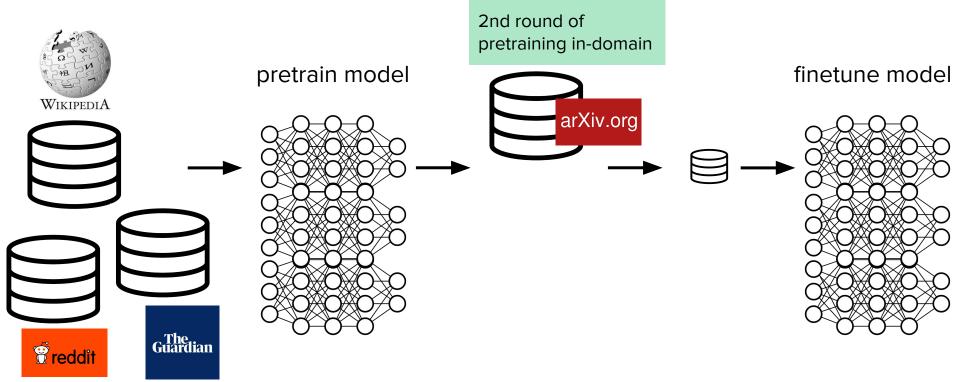


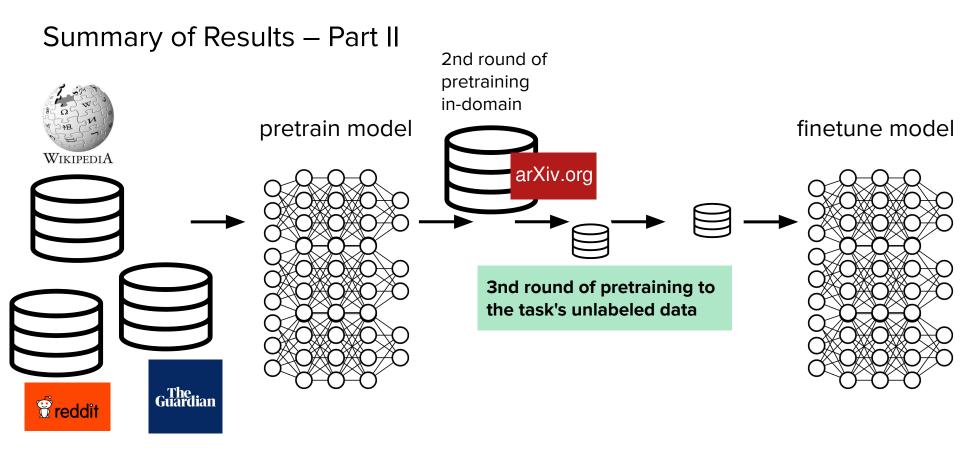
Dodge, Sap, Marasović, Agnew, Ilharco, Groeneveld, Mitchell, Gardner. Documenting Large Webtext Corpora: A Case Study on the Colossal Clean Crawled Corpus. 103 EMNLP 2021.

What if I change the data domain? Do the latest large pretrained models work universally?



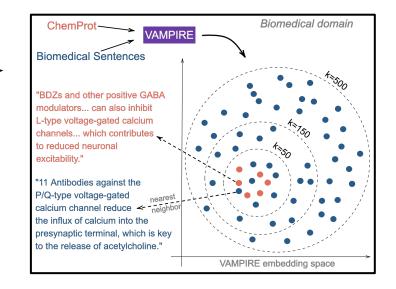
Summary of Results – Part II





Summary of Results – Part III

It may be valuable to complement work on ever-larger LMs with parallel efforts to identify and use domain- and task-relevant corpora to specialize models



Can a pretrained model without any additional tweaks fill in the spans?

So-so

We find that **preparing the editor** by finetuning it to infill masked spans given masked text and **a target end-task label** as input is an important step before using it for editing

(standard masking) Sylvester Stallone has made some <mask> films in his lifetime, but this has got to be one of the <mask>. A totally <mask> story...

(targeted masking) label: positive input: Sylvester Stallone has made some <mask> films in his lifetime, but this has got to be one of the <mask>. A totally <mask> story... Can a pretrained model without any additional tweaks fill in the spans?

So-so

We find that **preparing the editor** by finetuning it to infill masked spans given masked text and **a target end-task label** as input is an important step before using it for editing

We find that **labels predicted by the model** we're explaining **can be used** in this step without a big loss in performance (good option if you don't have the labeled data)

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⇒ MiCE is a two-stage approach to generating contrastive edits

Stage 1: prepare an editor Stage 2: makes edits guided with gradients & logits of the model we're explaining